

AMERICAN SADDLEBRED REGISTRY, INC.



**Board of Directors Meeting
Saturday, February 14, 2015 at Noon
American Saddlebred Museum Library
Lexington, Kentucky**

MINUTES

Directors Present: Dr. Margaret McNeese, Susan Aschenbrenner, Brian Curran, Chuck Herbert, Carl Holden, Gail Kline, Scott Matton, David Mount, Karen Richardson, Kim Skipton and Judy Werner.

Others Present: Sandra Currier; new ASR Director, Lisa Duncan; Registrar, Katriona Adams; Assistant Registrar, Bill Whitley; ASHA Executive Director, Bob Funkhouser; ASHA President, Fred Sarver; Registry Committee Chair and Cynthia Lowell; Database Project Manager.

I. Roll Call/Establish Quorum/Consider Agenda

Dr. Margaret McNeese, ASR President, called the meeting to order at Noon. Ms. Adams called the roll and noted that a quorum was present. Mr. Curran made a motion to amend the agenda to put the Executive Session under The Registry Committee Report, Ms. Richardson seconded the motion which was passed with all in favor.

II. Treasurer's Report

a. Proposed 2015 Budget

Ms. Duncan reported that the last financial statement available is for September 2014. A new accountant has been hired and is still learning about our finances. Mr. Holden expressed concern about being four months behind. Mr. Whitley explained the background on this including the contract with USEF for their finance staff to assist us temporarily with our finances. Due to unexpected circumstances, they were not able to devote the time needed and have given us the 30 days' notice required to end the agreement.

A motion was made and seconded to approve the proposed 2015 budget, even though we don't have a complete financial report for 2014. The

motion was approved with all in favor. Mr. Holden asked Ms. Duncan to look at our bank account statements.

b. Investment Report

Mr. Holden provided an investment report: \$25,000 Merrill Lynch cash was moved to Mutual Fund investments as approved by the Board. Our two largest mutual fund investments produced negative results in January, however, as of the end of January 2015 we had a total of \$239,430 in investments with Merrill Lynch. Mr. Holden noted that the report is in good order and no changes are recommended at this time.

Mr. Whitley reported that two approvals are now required before a bill is sent to the Accounting Department to be paid. A question was raised about apparent duplicate entries in the financial statements. Mr. Whitley will check on this. Dr. McNeese suggested that the Treasurers of both organizations get together to review the financials and determine where there is duplication.

III. President's Report

a. Guidelines for Directors & Conflict of Interest Disclosure Statement

Dr. McNeese asked Directors to complete the Conflict of Interest Disclosure forms provided.

b. Committees

She reported that the new President will have to reconstitute the committees. A committee list was provided for informational purposes.

c. United States Trotting Association

She reported that the USTA requires horse owners to check a box on their forms if they want their certificates mailed. If you do not check the box, then the registration certificate is held electronically by the Association. After some discussion it was agreed that we should try to identify the amount of revenue from duplicate certificates. Staff was also asked to look into the cost and the amount of staff time required to send all certificates by certified mail.

d. USEF Rule – SB173.1

Dr. McNeese reported on a rule change proposal to USEF Rule SB173.1 which would have stated that weanlings must be shown barefoot. The Futurity Committee had disapproved it and the USEF Saddlebred Committee tabled it. The Futurities Committee will be reviewing SB173 at their next meeting.

**IV. Executive Session
Registry Committee Report**

The Board went into Executive Session where they considered the Registry Committee report. Mr. Sarver explained a rule change proposal to the Naming Rule which would allow more than one name change for a registered horse provided the change is to re-use a former name of the horse in question.

Proposed effective date: 2/14/15

**SECTION IV. CERTIFICATE CHANGES & REPLACEMENTS
A. CHANGE OF NAME**

The name of a registered horse may be changed one time only during the lifetime of that horse provided that:

1. No foals have been registered from the horse in question, whether it be a mare or a stallion.
2. The horse has not been designated as a Saddlebred Record Champion.
3. If the horse's name includes a registered farm name, written permission from the owner(s) or authorized agent(s) of the farm is required to remove a registered farm name as a prefix from the horse's registered name.

Otherwise the horse's name may be changed but the registered farm name will remain as a prefix as part of the name. See Section IX. Registered Farm Names. Note: If a horse has had a name change, ~~the~~ *subsequent* owners may reregister the horse by ~~its original~~ *either* name, subject to above limitations.

The original Certificate of Registration must be submitted along with the name change request and correct fee. The old certificate will be cancelled and retained by the Registry and a new certificate issued. See Section III. H. for rules on naming horses.

After discussion, Mr. Herbert made a motion to approve the rule change, Ms. Skipton seconded the motion which was passed with all in favor.

Mr. Sarver explained a proposed bylaw change to remove the restriction that the Registry President, Secretary and Treasurer be current members of the ASHA Board at the time of their election as a director of the Registry. He reported that this proposal has been unanimously approved by the Registry Committee and also by the Bylaws Committee.

Proposed effective date: 2/14/15

**ARTICLE IV
OFFICERS Section 1.**

The officers of the Registry shall be a President, Vice President, Secretary, Registrar, and Treasurer, each of whom, except the Registrar, shall be elected by the ASR Board of Directors. Any two or more offices may be held by the same person except the offices of President and Secretary. Each officer, except the Registrar, must be a member of the ASR Board of

~~Directors. The President, Secretary and Treasurer must also be current members of the Board of Directors of the American Saddlebred Horse Association at the time of their election as a director of the Registry.~~ The American Saddlebred Registry Board of Directors shall appoint the Registrar upon recommendation by the Executive Director of the American Saddlebred Horse Association.

After discussion, Ms. Kline made a motion to approve the Bylaw change, Ms. Richardson seconded the motion which was approved unanimously.

The Board came out of Executive Session.

V. Registrar's Report

a. 2014 Registry Statistics

Ms. Duncan reported on the 2014 Annual Statistics. We received approximately 100 less applications for registration compared to 2013. The report for transfers received is not working properly due to a lot of bad data and glitches in the new database. The database transition was discussed. Staff will re-run the 2014 Annual Statistics when the database is accurate and will email them to the Board. Ms. Duncan also reported on registrations by foal crop which led to a suggestion to look into the possibility of asking breeders to complete Live Foal Reports. It was noted that this could be added to the list of forms on the website for breeders to submit online.

b. Mare Harmony Program

Ms. Duncan asked if we are going to charge for Mare Harmony ads. They were listed free of charge in 2014. Mr. Funkhouser volunteered to promote the program in Saddle Horse Report. The general consensus by the Board was that we should charge \$25 for each ad. A suggestion was made to do a "Did You Know" piece each week and email it to our distribution list. Mare Harmony could be featured one week. A suggestion was also made to possibly have a Facebook page for the Registry.

c. Harness Horse Festival & Sport Horse Programs

Ms. Duncan and Mr. Funkhouser are working on preparations for the Driving Horse Festival. The Registry got a sponsor to cover the costs of having a booth there. Ms. Duncan is working on preparing materials regarding the ASR Sport Horse Programs and regarding the Half Saddlebred Registry. Ms. Duncan and Mr. Funkhouser will try to get more Saddlebred horses for the Harness Horse Festival.

d. Breeder's Awards Medallions

Ms. Duncan reported on the cost of the Breeder's Awards Medallions and asked for approval from the Registry to cover the cost if the Museum does

not cover them this year. It was noted that this was unlikely, however, the Board agreed that we would cover the cost if necessary.

VI. Suggestions Received:

Joint Marketing efforts for ASHA & ASR and for establishment of a Fund

Mr. Mount is trying to come up with ways for the Registry to have its own identity and promote its programs. He also suggested establishing a fund for horses going out of the ownership of rescue organizations. The fund could be used to cover the costs of transferring ownership. After a lengthy discussion, it was agreed that a committee should be appointed to work on establishing such a fund. Gail Kline, David Mount and Kim Skipton agreed to represent the Registry and Bob Funkhouser will appoint two committee members to represent the Association. The committee will also look into the recommendations to be more innovative with the Amish and their large market.

Free transfers for qualified rescue organizations & Amish Breeders

Ms. Currier suggested that we need to be more innovative with the Amish. There is a large market for Saddlebreds among their community. It was noted that there will be a lot of Amish at the Harness Horse Festival. After discussion, it was agreed to ask the new committee also look into these issues.

VII. Update on Database and Website

Ms. Duncan explained the document in the Board packets pertaining to the new database and the new website. It was reported that there has been a lot of confusion and poor communication about progress with the new database. After a lengthy discussion, the Board agreed that the Registry needs to be involved in discussions about the database. It was directed that Ms. Duncan is to attend database meetings moving forward. It was also agreed to place a notice on the website letting people know that it has not been updated since October 2014. A recommendation was made to program the database to email owners once their horse has been transferred into their name.

VIII. Report of Nominating Committee

a. Election of Director for Board vacancy

Dr. McNeese nominated Bret Day for election to the ASR Board, to fill the current vacancy. The motion was seconded and passed with all in favor.

IX. Election of Officers

Dr. McNeese asked for nominations for the new Officers of the Registry. Mr. Curran nominated Chuck Herbert for President, Ms. Skipton seconded the motion which was passed with all in favor.

Mr. Curran nominated Judy Werner as Vice-President, Mr. Herbert seconded the motion which was passed with all in favor.

Ms. Kline nominated Brian Curran as Treasurer, Ms. Werner seconded the motion which was passed with all in favor.

Mr. Herbert nominated Dr. Margaret McNeese as Secretary, Ms. Werner seconded the motion which was passed with all in favor.

Dr. McNeese thanked outgoing Directors, Carl Holden and Kim Skipton, for all of their assistance with the many recent projects including our new logo and with updating the bylaws. Carl did an excellent job as Treasurer of the Registry for many years.

X. Request regarding Saddlebred Record

Ms. Duncan reported that Ms. Gilliland had researched show records for some older horses and proposed a list of horses to be included in Saddlebred Record. Ms. Werner made a motion to approve these horses, Mr. Mount seconded and the motion was passed.

XI. Other Business

A discussion took place regarding microchipping. Ms. Duncan reported that we have a new form on our website for people to record microchip numbers for their registered horses. Microchip numbers may be listed on the application for registration for unregistered horses.

XII. Adjournment

With no other business before the Board, the meeting was duly adjourned at 3:00 p.m.

Submitted by:
Katriona Adams
Assistant Registrar
Submitted: 4/29/2015