

AMERICAN SADDLEBRED REGISTRY, INC.

**Board of Directors Meeting
Wednesday, October 26, 2011 at 8:30 a.m. Eastern Time**

MINUTES

Members Present: Lisa Duncan
Louise Gilliland
Larry Hartsock
Chuck Herbert
Carl Holden
Scott Matton
Margaret McNeese
Don Schilling
Suzie Teater

Members Absent: Brian Curran
Sandra Lilly

Others Present: Paula Johnson, Registrar
Katriona Adams, staff
Fred Sarver, guest
Lori Nelson, guest
Lisa Owens, guest

I. Roll Call/Establish Quorum/Consider Agenda/Adopt Rules of Order

President Duncan called the meeting to order at 9:05 a.m. on Wednesday, October 26, 2011. Ms. Johnson called the roll and noted that a quorum of directors was present. Mr. Herbert made a motion to adopt the agenda, Mr. Schilling seconded and the motion was passed.

II. Introduction of Guest

President Duncan introduced Fred Sarver, chair of the Registry Committee, as a guest.

III. Registry Committee Report and Recommendations

a. Rule Change Proposals

i. Foreign Registrations

C. REGISTRATION OF HORSES ORIGINALLY REGISTERED WITH AN APPROVED FOREIGN SADDLEBRED REGISTRY

The Registry currently recognizes the registries of ASHA of Canada, the Saddle Horse Breeders' Society of South Africa *and the formerly recognized ASHA of Australia* for the purpose of registration of horses with the Registry.

REGISTRATION OF HORSES WHOSE SIRE AND/OR DAM ARE REGISTERED WITH AN APPROVED FOREIGN REGISTRY.

1. A horse whose sire and dam are registered American Saddlebreds with a current or former approved foreign registry or with ASR may also become eligible for registration with the Registry upon meeting the following requirements:

a. An Application for Registration on a form provided by the Registry has been

completed, signed by the applicant, and filed with the Registry along with the proper fees.

b. A valid Stallion Service Report or Breeder's Certificate must be submitted.

c. A copy of the registration certificates or pedigrees for the sire and dam from the approved foreign registry have been submitted to the Registry.

d. Documentation of DNA testing for the sire and dam (as determined by a laboratory approved by the Registry) have been placed on permanent record with the Registry and the foal qualifies with full parentage through DNA testing.

Mr. Sarver explained the background on the rule change proposals regarding foreign registrations which are in response to requests from owners in foreign countries. This would allow them to register their Saddlebreds directly with us provided all requirements are met.

ii. Add Foaling State

SECTION XV. HEARINGS, VIOLATIONS & PENALTIES

A. VIOLATIONS

Any person committing or aiding in the commission of any of the following acts or incurring the following conviction or penalty shall be in violation of the rules of the Registry:

- a. Changing a Certificate of Registration;
- b. Forging any statement, form, certificate, court or any other document presented to or filed with the Registry;
- c. Making a false or fraudulent statement with regard to the age, sex, markings, *foaling state*, breeding, pedigree, ownership or transfer of a horse.

Mr. Sarver and President Duncan explained that we would like to include the foaling state on the newly designed letter size certificate of registration and therefore wanted to add foaling state to the rules.

iii. Permanent Farm Name as Prefix

SECTION IV. CERTIFICATE CHANGES & REPLACEMENTS

A. CHANGE OF NAME

The name of a registered horse may be changed one time only during the lifetime of that horse provided that:

1. No foals have been registered from the horse in question, whether it be a mare or a stallion.
2. The horse has not been designated as a Saddlebred Record Champion.
3. *If the horse's name includes a registered farm name, written permission from the owner(s) or authorized agent(s) of the farm is required to remove a registered farm name as a prefix from the horse's registered name. Otherwise the horse's name may be changed but the registered farm name will remain as a prefix as part of the name. See Section X. Registered Farm Names.*

SECTION X. REGISTERED FARM NAMES

Exclusive use of a farm name for the purpose of using the entire farm name or an approved portion of the name as a prefix for a horse's registered name may be granted upon submitting the proper application with the required fee provided the following conditions are met:

1. Use of the farm name selected has not already been exclusively granted;

2. The name applied for is not one to be considered in the public domain, such as “American,” “Beauty,” etc., and
3. Payment of a **\$1,000.00** fee has been made for the registration of the farm name.

Note: When a farm is sold, exclusive use of its registered name does not automatically pass to the purchaser. Written release of the registered farm name must be submitted to the Registry office by the person to whom exclusive use was originally granted. Application for exclusive use of that farm name must then be made by the purchaser at the initial registration fee of **\$1,000.00**. Once a farm name has been registered, the Registry will recognize no other user except the registrant.

See Section IV. A. regarding limitations on changing the name of a horse with a registered farm name as a prefix.

Mr. Sarver explained the rule change proposals regarding a permanent farm name as a prefix to horses registered names. If someone wanted to remove the name of the farm from the horse’s name, they could contact the farm owner and request permission. These rule change proposals would be effective immediately.

After discussion, Ms. Gilliland made a motion to approve the rule change proposals, Mr. Holden seconded and the motion was passed with all in favor.

b. Honoring of Deceased Horses

Mr. Sarver explained that we would like to look at providing a way for owners to honor deceased horses on our website for a fee. Suggestions were made to look into website costs involved as well as options for including this in the Reference Directory.

IV. Financials

a. Treasurer’s Report

b. Registry Financial Statements as of August 31, 2011

c. Tax Return

d. Proposed 2012 Budget

Mr. Herbert explained the income and expense reports as of August 31, 2011. Income from DNA kit orders is higher than last year due to the amnesty. We had an increased number of applications for registration; however, the income is not much higher than last year due to the low amnesty registration fee of \$45. The auditors have reported that there is no requirement to post the tax return on the website. Mr. Herbert reported that a proposed budget for 2012 will be available shortly and will be distributed to the Board.

Mr. Holden made a motion to approve the Treasurer’s Report, Ms. Duncan seconded and the motion was passed with all in favor.

V. President’s Report

a. New Certificate Design

b. Proposal regarding Show Results

c. USEF rule change proposal

d. Other

President Duncan reported on the newly designed letter size certificate of registration. Foaling State information will also appear on the certificate if it is recorded with us. Ms. Gilliland asked if foaling state would also appear on the website. Staff will look into this.

President Duncan reported that we have received a proposal for volunteer assistance with recording historical show results. After discussion, Mr. Matton made a motion to accept the proposal, Mr. Holden seconded and the motion passed with all in favor.

USEF staff Lori Nelson and Lisa Owens joined the meeting to explain the background of a pending USEF rule change proposal regarding verification of registrations and certificates of eligibility to show for USEF competitions. They explained that this was as a result of requests from the Show Secretaries Committee. They also explained a request on data sharing with the registry. After discussion, it was agreed that staff would need to review the proposal on data sharing. Ms. Nelson & Ms. Owens left the meeting.

The USEF rule change proposal was discussed and it was noted that this would shift responsibility for verifying show entries to the affiliate to do after the show based on show results received. This would possibly require a reallocation of prize money if there were ineligible entries. It was agreed that this proposal could not be supported as written. A status report of this proposal will be discussed at the next meeting and will be referred to the ASHA Board.

President Duncan reported on a request to endorse an auction of frozen semen of stallions registered here to be used for breeding mares in Sweden. After discussion, it was agreed that we could possibly write an article for the magazine or reference directory but that this request should be referred to the Association Board regarding the possibility of sending a mass email or press release.

VI. Registrar's Report
a. Statistics as of September 30, 2011
b. Amnesty Report
c. Processing Fee Refund
d. Other

Ms. Adams explained the registry statistics as of September 30, 2011. She noted that the higher number for transfers processed also included unregistered foal transfers. Ms. Johnson reported on amnesty and noted that if we have another amnesty in the future that we should consider the timing of other issues affecting workload such as futurities.

A discussion took place regarding the \$25 refund processing fee. Some felt that customers should receive a full refund, others wanted more information such as how many of these are processed and what the cost is of processing a registration. After discussion, Dr. McNeese made a motion to suspend the \$25 refund processing fee until we have more information, Ms. Gilliland seconded and the motion was passed with all in favor.

Ms. Johnson reported that the Registry, the former Registry Board President and the ASHA Board President were dismissed from the Wasserzug V. ASHA, et al lawsuit.

VII. Report on Retention of Registry documents

Ms. Teater reported on her research into storage of registry documents and related costs. It was agreed that staff should continue with the project of eliminating items in the office that are redundant to help create more space for some of the items that we currently store offsite. It was also agreed that we should investigate the cost of scanning of documents.

VIII. Report on Sport Horse Incentive Program

President Duncan provided a report on the Sport Horse Incentive Program. A discussion took place regarding funding for the program.

IX. Adjournment

There being no other business before the Board, Ms. Gilliland moved that that the meeting be adjourned, Mr. Herbert seconded and the meeting was adjourned at 11:30a.m.

Submitted by:

Katriona Adams, staff